



AMERICAN UNDERWRITERS LIFE INSURANCE CO
 Phoenix, Arizona
 Administrative Offices: P.O. Box 9510, Wichita, Kansas 67277

AUTHORIZATION TO TRANSFER FUNDS
SEE BACKSIDE OF FORM FOR AGENT INSTRUCTIONS

Existing Company		Phone Number (important)	
Existing Company Street Address		City	State Zip Code
Existing Contract Number	Investment Vehicle (CD, mutual funds, etc. Stock must be redeemed)	Account type (IRA, 403(b) or Name of Fund)	Approximate Amount of Transfer \$ _____ <input type="checkbox"/> Transfer funds immediately
Insured's / Annuitant's Name		Insured's / Annuitant's Social Security No.	
Owner's Name (If other than Annuitant)		Owner's Social Security No.	

COMPLETE ONE SIDE ONLY

**REQUEST FOR 1035 TRANSFER
NON-QUALIFIED INSURANCE OR ANNUITY CONTRACT**

I, the undersigned, hereby state that I am the owner of the life insurance, endowment, or annuity contract(s) identified above. For the purpose of making an IRC Section 1035 exchange, I hereby absolutely assign and transfer all rights, benefits, and interests I have in the above identified contract(s) to American Underwriters Life.

I understand that American Underwriters Life will request the full surrender of the above listed contract(s) immediately upon receipt of this request and the cash surrender value received by American Underwriters Life will be applied as premium on the new contract. American Underwriters Life assumes no liability for any delay by the surrendering company in processing the assignment of the ownership, the request for surrender, or the payment of the cash surrender value(s). I understand that the contract values and terms of the above identified contract may differ substantially from those in the contract issued by American Underwriters Life.

If I return the policy under the "free look" provision, I recognize that the assigned contract having already been surrendered may not be eligible for reinstatement. If I return the policy under the "free look" provision, American Underwriters Life has no liability beyond the return of the cash surrender value of the assigned contract. Coverage does not start under the new contract to be issued until the cash surrender value of the surrendering contract is received.

I certify that no proceedings in bankruptcy or insolvency, voluntary or involuntary are pending against me. Neither American Underwriters Life nor any officer, employee, agent, nor any person acting on behalf of American Underwriters Life warrants or represents the income tax consequences of this transaction.

Special Instructions: _____

X

Annuitant's Signature _____ Date _____

Owner's Signature (If other than annuitant) _____ Date _____

Attach original contract or initial here: _____ I hereby state that I am the owner of the annuity/life policy identified above and that the policy has been lost or destroyed and cannot be found after a careful search.

**QUALIFIED AND NON-QUALIFIED
PLAN TRANSFER REQUEST**

AUTHORIZATION TO TRANSFER FUNDS

Please liquidate account in full

Please liquidate \$ _____ of my account

Please liquidate _____ % of my account

Please liquidate certificate(s) of deposit:

On the Maturity Date of _____

Upon receipt of this request. I/We are aware of any penalty that may be imposed for early withdrawal.
_____ (initials)

To the annuity I have established through American Underwriters Life.

Special Instructions: _____

X

Annuitant's Signature _____ Date _____

Owner's Signature (If other than annuitant) _____ Date _____

NOTE: A signatures guarantee may be required by transferring company.

Signature Guarantee By: Name of Bank or Firm _____

Signature of Officer & Title _____

Accepted by American Underwriters Life Insurance Co.

By signing below, American Underwriters Life agrees to accept the transfer described above to the Plan established on behalf of the above named individual. We accept appointment as successor custodian of the above account and request the liquidation and transfer of funds indicated above.

The account to which the funds are being transferred is a non-qualified annuity

The account to which the funds are being transferred is a tax-qualified annuity.

CHECK SHOULD BE MADE PAYABLE TO:
 American Underwriters Life Insurance Company
 Annuity Section, P.O. Box 9510, Wichita, Kansas 67277
 Phone: 316-794-2200 Fax: 316-794-8470

PLEASE DO NOT USE ANY FORM OF CORRECTION FLUID ON THIS DOCUMENT. SEE INSTRUCTIONS ON BACK.

American Underwriters Life Insurance Company accepts the transfer of funds as instructed in this request.

James F. Wilson
 President

Instructions for Qualified Plan Transfer / Rollover

The Internal Revenue code provides for the tax-free transfer of assets in one Qualified Plan to an Individual Retirement Annuity (IRA). If transferred properly, the owner does not recognize gain for Federal income tax purposes at the time of the transfer.

1. Complete the appropriate application for the desired product. If any errors are made, please draw a single line through the error, provide the correct information, and place your initials above the correction. **PLEASE DO NOT** use any form of correction fluid.
 - A. The owner, annuitant, and beneficiary(ies) must be the same on the old and new Qualified Plan.
 - B. Indicate what type of existing Qualified Plan is being transferred, (i.e. IRA / IRA Rollover, SEP, etc.)
2. Read the authorization to Transfer Funds carefully and complete all sections.
3. Contact your current account company to determine if they will require a signature guarantee to process your request. If so, they can instruct you where to get the guarantee. Generally they are available at banks and brokerage firms. Not providing it may delay the transfer process.
4. Submit the appropriate replacement forms if required by your state for life or annuity transfers.
5. Submit the original contract being exchanged. If the policy has been lost or destroyed, initial the space in the "Attach Original Contract" section on the front of this form.

Instructions for Non-Qualified Plan Transfer

Funds can be transferred directly from your non-qualified account at a bank, mutual fund company, etc., into an American Underwriters Life Insurance Policy or Annuity. There are no tax advantages or limitations to such a transfer as the form is only provided to attempt to expedite the process.

1. Complete the appropriate application for the desired product. If any errors are made, please draw a single line through the error, provide the correct information, and place your initials above the correction. **PLEASE DO NOT** use any form of correction fluid.
2. Read the Authorization to Transfer Funds Form carefully and complete all sections.
3. Contact your current account company to determine if they will require a signature guarantee to process your request. If so, they can instruct you where to get the guarantee. Generally they are available at banks and brokerage firms. Not providing it may delay the transfer process.
4. Submit a copy of a recent statement showing account information. If transferring from a Certificate of Deposit attach the original CD as many banks will not process the request without the original CD.

Instructions for (IRC) Section 1035 Transfer

The Internal Revenue Code - Section 1035 (a) provides for the tax-free exchange of an annuity, life, or endowment contract from one life insurance company to another. If exchanged properly, the owner does not recognize gain for Federal income tax purposes at the time of the transfer.

1. Complete the appropriate application for the desired product. If any errors are made, please draw a single line through the error, provide the correct information, and place your initials above the correction. **PLEASE DO NOT** use any form of correction fluid.
 - A. The owner(s), annuitant(s), insured(s), and beneficiary(ies) must be the same on the old and new Contract.
 - B. Indicate it is a 1035 Exchange on the application.
2. Read the Request for 1035 Exchange part of the form carefully and be sure to obtain all necessary signatures.
3. Submit the appropriate replacement forms if required by your state.
4. Submit the original contract being exchanged. If the policy has been lost or destroyed; initial the space in the "Attach Original Contract" section on the front of this form.

AGENT MAILING INSTRUCTIONS

Please send completed application and all transfer paperwork to the following address:

By Mail:
AMERICAN UNDERWRITERS LIFE INSURANCE CO
Life and Annuity Section
P.O. Box 9510
Wichita, Kansas 67277

UPS, FedX, etc.:
AMERICAN UNDERWRITERS LIFE INSURANCE CO.
Life and Annuity Section
1035 S. 183rd Street W.
Goddard, Kansas 67052

NOTE TO AGENT: THE HOME OFFICE DOES REGULAR FOLLOW-UP WITH THE SURRENDERING COMPANY. PLEASE FEEL FREE TO CALL THE SURRENDERING COMPANY AT ANY TIME DURING THE TRANSFER PERIOD.